

Investment Goal Questionnaire

Please list the following topics in order of importance with (1) being the highest, and (5) being the lowest on your list.

When it comes to my investment, _____ is the most important to me or concerns me the most?

____ Growth ____ Keeping Pace with Inflation ____ Running out of Money

____ Safety of Principle ____ Taxable Consequence

Do you have a plan in place that if and when the market goes down you won't lose the value of your initial investment?

____ Yes ____ No Comments _____

Do you have a plan in place that if and when the market goes down you won't have to lower your personal retirement income?

____ Yes ____ No Comments _____

Do you have a plan in place that will allow for you to protect the past gains you have received prior to the market going down?

____ Yes ____ No Comments _____

Do you know that you shall never run out of money as long as you shall live?

____ Yes ____ No Comments _____

Client Signature

Registered Representative

Date